

McTiny:

McEliece for tiny network servers

Daniel J. Bernstein,

`uic.edu`, `rub.de`

Tanja Lange, `tue.nl`

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Fundamental literature:

1962 Prange (attack)

+ many more attack papers.

1968 Berlekamp (decoder).

1970–1971 Goppa (codes).

1978 McEliece (cryptosystem).

1986 Niederreiter (compression)

+ many more optimizations.

## Encoding and decoding

1978 McEliece public key:

matrix  $G$  over  $\mathbf{F}_2$ .

Normally  $m \mapsto mG$  is injective.

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goal:  $512 \times 1024$  matrix,  $w = 50$ .

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McEliece uses random  $G \in \mathbf{F}_2^{k \times n}$   
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Parameters for  $2^{64}$  security

$2 \times 1024$  matrix,  $w = 50$ .

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Binary Goppa code structure

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## One-wayness ("OW")

Fundamental security property:  
 Can attacker efficiently recover  
 random  $m$ ,  $e$  given  
 key  $G$  and ciphertext  $C$ ?

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## One-wayness ("OW-Passive")

Fundamental security question:

Can attacker efficiently find  $m$  given  $c$ ?

random  $m, e$  given random  $p$

key  $G$  and ciphertext  $mG + e$

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The McEliece system  
 (with later key-size optimizations)  
 uses  $(c_0 + o(1))\lambda^2 (\lg \lambda)^2$ -bit keys  
 as  $\lambda \rightarrow \infty$  to achieve  $2^\lambda$  security  
 against Prange's attack.  
 Here  $c_0 \approx 0.7418860694$ .

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Encoding: kernel of  
 $v \mapsto \sum_i v_i / (x - \alpha_i)$   
modulo  $\mathbf{F}_q[x]/g$ .

Code dimension  $n - w \lg q$ .

Encoder uses random  $G \in \mathbf{F}_2^{k \times n}$   
The message is this code.

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Central security question:

Can attacker efficiently find

$m$ ,  $e$  given random public

key and ciphertext  $mG+e$ ?

Prange: simple attack idea

introduced in 1978 McEliece.

McEliece system

(after key-size optimizations)

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The McEliece system  
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against all attacks  
Same  $c_0 \approx 0.7418$

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The McEliece system  
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Round-2 options:

8192128, 6960119, 6688128, 460896, 348864.

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## Niederre

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Generator matrix for code  $\Gamma$  of length  $n$  and dimension  $k$   
 $G' \in \mathbf{F}_2^{k \times n}$  with  $\Gamma = \mathbf{F}_2^k \cdot G'$ .

McEliece public key:  $G = S$   
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Given  $H$  and Niederreiter's  $He^T$ ,  
can attacker efficiently find  $e$ ?

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find  $m, e$  given  $G$  and  $mG + e$ :  
compute  $H(mG + e)^\top = He^\top$ ;  
find  $e$ ; compute  $m$  from  $mG$ .

Iterative key compression

Generator matrix for code  $\Gamma$

length  $n$  and dimension  $k$ :

$\Gamma \subseteq \mathbf{F}_2^n$  with  $\Gamma = \mathbf{F}_2^k \cdot G'$ .

Choose public key:  $G = SG'$  for

invertible  $S \in \mathbf{F}_2^{k \times k}$ .

Iterative instead reduces  $G'$

to unique generator matrix in

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Goal: that systematic form

Security loss:  $< 2$  bits.

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key:  $G = SG'$  for  
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 compute  $H(mG + e)^T = He^T$ ;  
 find  $e$ ; compute  $m$  from  $mG$ .

Other choices of codes

Niederreiter suggests  
 Solomon codes. Based  
 by Sidelnikov and

More corpuses: e.g.  
 codes, Reed–Muller  
 AG codes, Gabidulin

several LDPC codes



Niederreiter ciphertext compression

Use Niederreiter key  $G = (I_k | R)$ .

McEliece ciphertext:  $mG + e \in \mathbf{F}_2^n$ .

Niederreiter ciphertext, shorter:

$$He^\top \in \mathbf{F}_2^{(n-k) \times 1}$$

where  $H = (R^\top | I_{n-k})$ .

Given  $H$  and Niederreiter's  $He^\top$ ,  
can attacker efficiently find  $e$ ?

If so, attacker can efficiently

find  $m, e$  given  $G$  and  $mG + e$ :

compute  $H(mG + e)^\top = He^\top$ ;

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No proof that changing codes preserves security level.

Classic McEliece: binary Goppa.

Reed–Solomon ciphertext compression

Niederreiter key  $G = (I_k | R)$ .

Reed–Solomon ciphertext:  $mG + e \in \mathbf{F}_2^n$ .

Reed–Solomon ciphertext, shorter:

$$H = \begin{pmatrix} R^\top & I_{n-k} \end{pmatrix}.$$

Can an attacker efficiently find  $e$ ?

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Can an attacker efficiently find  $e$ ?

Given  $G$  and  $mG + e$ :

$$H(mG + e)^\top = He^\top;$$

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IND-CCA

OW-Pas

Message

Attacker

and obse

Context compression

Key  $G = (I_k | R)$ .

Text:  $mG + e \in \mathbf{F}_2^n$ .

Context, shorter:

$(n-k)$ .

Niederreiter's  $He^T$ ,  
Can we efficiently find  $e$ ?

Can we efficiently

find  $m$  from  $mG + e$ :

$(mG + e)^T = He^T$ ;

Can we find  $m$  from  $mG$ .

Other choices of codes

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IND-CCA2 security

OW-Passive security  
Messages are not  
Attacker chooses  $e$   
and observe reaction

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$k|R)$ .

$e \in \mathbf{F}_2^n$ .

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$He^T$ ,

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$e^T$ ;

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## IND-CCA2 security

OW-Passive security is too weak. Messages are not random. Attackers choose ciphertexts and observe reactions.

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Classic McEliece does more work for “IND-CCA2 security”.

Combines coding theory with AES-GCM “authenticated cipher” and SHA-3 “hash function”.

All messages are safe.

Reusing keys is safe.



Choices of codes

Walter suggested Reed–  
Muller codes. Broken in 1992  
by Prange, Prouff, Shamir,  
Shestakov and Shestakov.

Examples: e.g., concatenated  
Reed–Muller codes, several  
others, Gabidulin codes,  
LDPC codes.

Not that changing codes  
raises security level.

McEliece: binary Goppa.

IND-CCA2 security

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Time

Cycles of  
params

---

348864

460896

6688128

6960119

8192128

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348864

460896

6688128

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Codes

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Time

Cycles on Intel Ha

params	op	cy
348864	enc	45
460896	enc	82
6688128	enc	153
6960119	enc	154
8192128	enc	183
348864	dec	136
460896	dec	273
6688128	dec	320
6960119	dec	302
8192128	dec	324

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Combines coding theory with  
AES-GCM “authenticated cipher”  
and SHA-3 “hash function” .

All messages are safe.

Reusing keys is safe.

Time

Cycles on Intel Haswell CPU

params	op	cycles
348864	enc	45888
460896	enc	82684
6688128	enc	153372
6960119	enc	154972
8192128	enc	183892
348864	dec	136840
460896	dec	273872
6688128	dec	320428
6960119	dec	302460
8192128	dec	324008

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348864	dec	136840
460896	dec	273872
6688128	dec	320428
6960119	dec	302460
8192128	dec	324008

A2 security

...ive security is too weak.

...s are not random.

...s choose ciphertexts

...erve reactions.

McEliece does more work

...-CCA2 security”.

...es coding theory with

...M “authenticated cipher”

...A-3 “hash function”.

...ages are safe.

...keys is safe.

Time

Cycles on Intel Haswell CPU core:

params	op	cycles
348864	enc	45888
460896	enc	82684
6688128	enc	153372
6960119	enc	154972
8192128	enc	183892
348864	dec	136840
460896	dec	273872
6688128	dec	320428
6960119	dec	302460
8192128	dec	324008

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params

348864

348864f

460896

460896f

6688128

6688128

6960119

6960119

8192128

8192128

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## Time

Cycles on Intel Haswell CPU core:

params	op	cycles
348864	enc	45888
460896	enc	82684
6688128	enc	153372
6960119	enc	154972
8192128	enc	183892
348864	dec	136840
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6688128	dec	320428
6960119	dec	302460
8192128	dec	324008

"Wait, you're leav  
 most important co  
 to have such slow

params	op
348864	keygen
348864f	keygen
460896	keygen
460896f	keygen
6688128	keygen
6688128f	keygen
6960119	keygen
6960119f	keygen
8192128	keygen
8192128f	keygen

Time

Cycles on Intel Haswell CPU core:

params	op	cycles
348864	enc	45888
460896	enc	82684
6688128	enc	153372
6960119	enc	154972
8192128	enc	183892
348864	dec	136840
460896	dec	273872
6688128	dec	320428
6960119	dec	302460
8192128	dec	324008

“Wait, you’re leaving out the most important cost! It’s crucial to have such slow keygen!”

params	op	cycles
348864	keygen	140870
348864f	keygen	82232
460896	keygen	441517
460896f	keygen	282869
6688128	keygen	1180468
6688128f	keygen	625470
6960119	keygen	1109340
6960119f	keygen	564570
8192128	keygen	933422
8192128f	keygen	678860

Time

Cycles on Intel Haswell CPU core:

params	op	cycles
348864	enc	45888
460896	enc	82684
6688128	enc	153372
6960119	enc	154972
8192128	enc	183892
348864	dec	136840
460896	dec	273872
6688128	dec	320428
6960119	dec	302460
8192128	dec	324008

“Wait, you’re leaving out the most important cost! It’s crazy to have such slow keygen!”

params	op	cycles
348864	keygen	140870324
348864f	keygen	82232360
460896	keygen	441517292
460896f	keygen	282869316
6688128	keygen	1180468912
6688128f	keygen	625470504
6960119	keygen	1109340668
6960119f	keygen	564570384
8192128	keygen	933422948
8192128f	keygen	678860388



on Intel Haswell CPU core:

op	cycles
enc	45888
enc	82684
enc	153372
enc	154972
enc	183892
dec	136840
dec	273872
dec	320428
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348864	keygen	140870324
348864f	keygen	82232360
460896	keygen	441517292
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6688128f	keygen	625470504
6960119	keygen	1109340668
6960119f	keygen	564570384
8192128	keygen	933422948
8192128f	keygen	678860388

1. What  
that this  
a proble

swell CPU core:

cycles

5888

2684

3372

4972

3892

5840

3872

0428

2460

4008

“Wait, you’re leaving out the most important cost! It’s crazy to have such slow keygen!”

params	op	cycles
348864	keygen	140870324
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6960119	keygen	1109340668
6960119f	keygen	564570384
8192128	keygen	933422948
8192128f	keygen	678860388

1. What evidence that this keygen is a problem for appl

core:

“Wait, you’re leaving out the most important cost! It’s crazy to have such slow keygen!”

params	op	cycles
348864	keygen	140870324
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6960119	keygen	1109340668
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8192128	keygen	933422948
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1. What evidence do we have that this keygen time is a problem for applications?

“Wait, you’re leaving out the most important cost! It’s crazy to have such slow keygen!”

params	op	cycles
348864	keygen	140870324
348864f	keygen	82232360
460896	keygen	441517292
460896f	keygen	282869316
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460896f	keygen	282869316
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6960119	keygen	1109340668
6960119f	keygen	564570384
8192128	keygen	933422948
8192128f	keygen	678860388

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2. Classic McEliece is designed for IND-CCA2 security, so a key can be generated once and used a huge number of times.

“Wait, you’re leaving out the most important cost! It’s crazy to have such slow keygen!”

params	op	cycles
348864	keygen	140870324
348864f	keygen	82232360
460896	keygen	441517292
460896f	keygen	282869316
6688128	keygen	1180468912
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3. McEliece’s binary operations are very well suited for hardware. See 2018 Wang–Szefer–Niederhagen. Isn’t this what’s most important for the future?

“You’re leaving out the most important cost! It’s crazy how slow keygen!”

	op	cycles
	keygen	140870324
E	keygen	82232360
	keygen	441517292
E	keygen	282869316
B	keygen	1180468912
Bf	keygen	625470504
9	keygen	1109340668
9f	keygen	564570384
B	keygen	933422948
Bf	keygen	678860388

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Bytes code

params

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348864

460896

6688128

6960119

8192128

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348864

460896

6688128

6960119

8192128

“It’s cra

ing out the  
ost! It's crazy  
keygen!"

cycles

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n 140870324  
n 82232360  
n 441517292  
n 282869316  
n 1180468912  
n 625470504  
n 1109340668  
n 564570384  
n 933422948  
n 678860388

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Bytes communicated

params    object

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348864	ciphertext
460896	ciphertext
6688128	ciphertext
6960119	ciphertext
8192128	ciphertext
<hr/>	
348864	key
460896	key
6688128	key
6960119	key
8192128	key

"It's crazy to have



1. What evidence do we have that this keygen time is a problem for applications?
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## Bytes communicated

params	object	bytes
348864	ciphertext	12
460896	ciphertext	18
6688128	ciphertext	24
6960119	ciphertext	22
8192128	ciphertext	24
348864	key	26112
460896	key	52416
6688128	key	104499
6960119	key	104731
8192128	key	135782

“It's crazy to have big keys!”

1. What evidence do we have that this keygen time is a problem for applications?
2. Classic McEliece is designed for IND-CCA2 security, so a key can be generated once and used a huge number of times.
3. McEliece's binary operations are very well suited for hardware. See 2018 Wang–Szefer–Niederhagen. Isn't this what's most important for the future?

## Bytes communicated

params	object	bytes
348864	ciphertext	128
460896	ciphertext	188
6688128	ciphertext	240
6960119	ciphertext	226
8192128	ciphertext	240
348864	key	261120
460896	key	524160
6688128	key	1044992
6960119	key	1047319
8192128	key	1357824

“It's crazy to have big keys!”

What evidence do we have  
that keygen time is  
a problem for applications?

McEliece is designed  
for CCA2 security, so  
keys can be generated once and  
used a huge number of times.

McEliece's binary operations  
are well suited for hardware.  
3 Wang–Szefer–  
Keygen. Isn't this what's  
important for the future?

## Bytes communicated

params	object	bytes
348864	ciphertext	128
460896	ciphertext	188
6688128	ciphertext	240
6960119	ciphertext	226
8192128	ciphertext	240
348864	key	261120
460896	key	524160
6688128	key	1044992
6960119	key	1047319
8192128	key	1357824

“It's crazy to have big keys!”

What evidence do we have  
that there is a problem  
with keygen time?

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## Bytes communicated

params	object	bytes
348864	ciphertext	128
460896	ciphertext	188
6688128	ciphertext	240
6960119	ciphertext	226
8192128	ciphertext	240
348864	key	261120
460896	key	524160
6688128	key	1044992
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“It’s crazy to have big keys!”

What evidence do  
 that these key size  
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Bytes communicated

params	object	bytes
348864	ciphertext	128
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“It’s crazy to have big keys!”

What evidence do we have that these key sizes are a problem for applications?

Compare to, e.g., web-page size.

`httparchive.org` statistics:

50% of web pages are  $>1.8\text{MB}$ .

25% of web pages are  $>3.5\text{MB}$ .

10% of web pages are  $>6.5\text{MB}$ .

The sizes keep growing.

Typically browser receives one web page from multiple servers, but reuses servers for more pages.

Is key size a big part of this?

Communicated

object	bytes
ciphertext	128
ciphertext	188
3 ciphertext	240
9 ciphertext	226
3 ciphertext	240
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key	524160
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3 key	1357824

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Typically browser receives one web page from multiple servers, but reuses servers for more pages.

Is key size a big part of this?

2015 McGrew “Living with postquantum cryptography”

Use standard network techniques (multicast, etc.) to reduce cost of communicating public keys.

Each ciphertext has to travel the way between the client and the server, but public keys can often be retrieved from much faster local caches.

Again IND-CCA2

What evidence do we have that these key sizes are a problem for applications?

Compare to, e.g., web-page size.

httparchive.org statistics:

50% of web pages are  $>1.8\text{MB}$ .

25% of web pages are  $>3.5\text{MB}$ .

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The sizes keep growing.

Typically browser receives one web page from multiple servers, but reuses servers for more pages.

Is key size a big part of this?

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2. Client encrypts session key to server's public key

$$\begin{pmatrix} K_{1,1} \\ K_{2,1} \\ \vdots \\ K_{r,1} \end{pmatrix}$$

Each block is padded to fit into a packet.

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3. Client sends  $K_{i,j}$  to server. Server sends back  $K_{i,j}e_j^T$  encrypted to a server cookie key. Server cookie key is not per-client. Key is erased after a few minutes.

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5. Repeat to combine everything, including  $I_{n-k}$  part of  $H$ .
6. Server sends final  $He^T$  directly to client, encrypted by session key but *not* by cookie key.
7. Client decrypts.

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$$\begin{pmatrix} K_{1,1} & K_{1,2} & K_{1,3} & \dots & K_{1,\ell} \\ K_{2,1} & K_{2,2} & K_{2,3} & \dots & K_{2,\ell} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ K_{r,1} & K_{r,2} & K_{r,3} & \dots & K_{r,\ell} \end{pmatrix}.$$

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3. Client sends  $K_{i,j}$  to server. Server sends back  $K_{i,j}e_j^T$  encrypted to a server cookie key.

Server cookie key is not per-client. Key is erased after a few minutes.

4. Client sends one packet containing several  $K_{i,j}e_j^T$ . Server sends back combination.
5. Repeat to combine everything, including  $I_{n-k}$  part of  $H$ .
6. Server sends final  $He^T$  directly to client, encrypted by session key but *not* by cookie key.
7. Client decrypts.

Forward secrecy: Once cookie key and secret key for  $H$  are erased, client and server cannot decrypt.



It decomposes ephemeral key  $K = R^\top$  into blocks:

$$\begin{pmatrix} K_{1,2} & K_{1,3} & \dots & K_{1,\ell} \\ K_{2,2} & K_{2,3} & \dots & K_{2,\ell} \\ \vdots & \vdots & \ddots & \vdots \\ K_{r,2} & K_{r,3} & \dots & K_{r,\ell} \end{pmatrix}.$$

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Classic M

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IND-CCA

Open-so

fast cons

also FPC

No pate

Big keys

with tiny

<https://>

uses ephemeral  
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$$\begin{pmatrix} 1,3 & \dots & K_{1,\ell} \\ 2,3 & \dots & K_{2,\ell} \\ \vdots & \ddots & \vdots \\ r,3 & \dots & K_{r,\ell} \end{pmatrix} \cdot$$

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General

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<https://classic.mceliece.org>

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