

Challenges in evaluating costs of known lattice attacks

D. J. Bernstein

Textbook algorithm design:

1. Write down algorithm A .
2. Prove algorithm costs C .
3. Repeat, trying to minimize C .

Usual situation for hard problems:

No proof of min C for known A .

Even worse for lattice attacks:

Claims of min C for known A are piles of poorly justified guesses.

sntrup761 evaluations from “NTRU Prime: round 2” Table 2:

Ignoring hybrid attacks:

368	185	enum, free memory cost
368	185	enum, real memory cost
153	139	sieving, free memory cost
208	208	sieving, real memory cost

Including hybrid attacks:

230	169	enum, free memory cost
277	169	enum, real memory cost
153	139	sieving, free memory cost
208	180	sieving, real memory cost

Security levels:

...	pre-quantum
...	post-quantum

changes in evaluating costs
in lattice attacks

Bernstein

Block algorithm design:

Break down algorithm A .

Each algorithm costs C .

What, trying to minimize C .

Situation for hard problems:

Cost of min C for known A .

Worse for lattice attacks:

Cost of min C for known A are

poorly justified guesses.

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algorithm A.
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to minimize C .

hard problems:
 C for known A .

attice attacks:

or known A are
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“... there is one significant optimization of Albrecht’s dual attack, which was not reflected in Round5 parameter choices. By taking this into consideration, some parameter choices of Round5 cannot enjoy the claimed security level.”

Goal: pre-quantum 128, 192
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Define $\mathcal{R} = \mathbf{Z}[x]/(x^{761} - x)$
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Rewrite each problem as finding a
short nonzero solution to system
 of homogeneous \mathcal{R}/q equations.
 Problem 1: Find $(s, e) \in \mathcal{R}^2$
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Rewrite each problem as finding
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Problem 1: Find $(s, e) \in \mathcal{R}^2$
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The main attack problems

Define $\mathcal{R} = \mathbf{Z}[x]/(x^{761} - x - 1)$;
 “small” = all coeffs in $\{-1, 0, 1\}$;
 $w = 286$; $q = 4591$.

Attacker wants to find
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$\mathcal{R} = \mathbf{Z}[x]/(x^{761} - x - 1)$;
 $\mathbf{z} =$ all coeffs in $\{-1, 0, 1\}$;
 $w = 5$; $q = 4591$.

r wants to find

eight- w secret $s \in \mathcal{R}$.

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Recognize each solution space as a full-rank lattice:

Problem 1: Find (s, e) in image of the map $(s, r) \mapsto (s, qr - r)$ from \mathcal{R}^2 to \mathcal{R}^2 .

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7

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module,
many in

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solution to system
 \mathcal{R}/q equations.

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(s, e) in image
 $\rightarrow (s, qr - As)$

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 map $(s, t, r) \mapsto$
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image
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Lattice has rank 2

Uniform random s
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Lattice has rank $2 \cdot 761 = 1522$

Uniform random small weight secret s has length $\sqrt{286} \approx 16.91$

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Force k positions in s to be 0: restrict to sublattice of rank 1509.

$\Pr[s \text{ is in sublattice}] \approx 0.2\%$.

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$\Pr[s \text{ is in sublattice}] \approx 0.2\%$.

Attacker is just as happy to find another solution such as (x_s, x_e) .

Standard analysis for, e.g., $\mathbf{Z}[x]/(x^{761} - 1)$: Each $(x^j s, x^j e)$ has chance $\approx 0.2\%$ of being in sublattice. These 761 chances are independent. (No, they aren't; also, total Pr depends on attacker's choice of positions.)

Standard attack on Problem 1

Lattice has rank $2 \cdot 761 = 1522$.

Uniform random small weight- w secret s has length $\sqrt{286} \approx 17$.

Uniform random small secret e has length usually close to $\sqrt{1522/3} \approx 23$. (What if it's smaller? What if it's larger?)

Attack parameter: $k = 13$.

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Attack parameter: $m = 600$.

Ignore $761 - m = 161$ equations: i.e., project e onto 600 positions.

Projected sublattice rank $d = 1509 - 161 = 1348$; $\det q^{600}$.

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Rescaling: Assign weight λ to positions in s . Increases length of s to $\lambda\sqrt{286} \approx 23$; increases det to $\lambda^{748} q^{600}$. (Is this λ optimal?)

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Surprising fact: A reported $400\times$ experimental speedup from a variant of this algorithm had zero effect on claimed security levels. Large parts of the speedup do *not* match underestimates in claims.

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